

BASARAB NICOLESCU

The Transdisciplinary Evolution of the University Condition for Sustainable Development *

Abstract

If the universities intend to be valid actors in sustainable development they have first to recognize the emergence of a new type of knowledge - the transdisciplinarity knowledge - complementary to the traditional, disciplinary knowledge.

This process implies a necessary multi-dimensional opening of the University : towards the civil society ; towards the other places of production of the new knowledge ; towards the cyber-space-time ; towards the aim of universality ; towards a redefinition of values governing its own existence.

1. INTRODUCTION : DISCIPLINARITY, MULTIDISCIPLINARITY, INTERDISCIPLINARITY AND TRANSDISCIPLINARITY

The indispensable need for *bridges* between the different disciplines is attested to by the emergence of pluridisciplinarity and interdisciplinarity around the middle of the 20th century.

Pluridisciplinarity concerns studying a research topic not in only one discipline but in several at the same time . For example, a painting by Giotto can be studied not only within art history but within history of religions, European history, and geometry. Or else Marxist philosophy can be studied with a view toward blending philosophy with physics, economics, psychoanalysis or literature. The topic in question will ultimately be enriched by blending the perspectives of several disciplines. Moreover, our understanding of the topic in terms of its own discipline is deepened by a fertile multidisciplinary approach. Multidisciplinarity brings a *plus* to the discipline in question (the history of art or philosophy in our examples), but this "plus" is always in the exclusive service of the home discipline. In other words, the multidisciplinary approach overflows disciplinary boundaries while *its goal remains limited to the framework of disciplinary research* .

Interdisciplinarity has a different goal from multidisciplinary. *It concerns the transfer of methods from one discipline to another* . One can distinguish three degrees of interdisciplinarity: a) *a degree of application* . For example, when the methods of nuclear physics are transferred to medicine it leads to the appearance of new treatments for cancer; b) *an epistemological degree* . For example, transferring methods of formal logic to the area of general law generates some interesting analyses of the epistemology of law; c) *a degree of the generation of new disciplines* . For example, when methods

from mathematics were transferred to physics mathematical physics was generated, and when they were transferred to meteorological phenomena or stock market processes they generated chaos theory; transferring methods from particle physics to astrophysics produced quantum cosmology; and from the transfer of computer methods to art computer art was derived. Like pluridisciplinarity, interdisciplinarity overflows the disciplines but *its goal still remains within the framework of disciplinary research* . It is through the third degree that interdisciplinarity contributes to the disciplinary big bang.

As the prefix "trans" indicates, *transdisciplinarity* concerns that which is at once *between* the disciplines, *across* the different disciplines, and *beyond* all discipline. Its goal is *the understanding of the present world* , of which one of the imperatives is the unity of knowledge.

Is there something between and across the disciplines and beyond all disciplines?

In the presence of several levels of Reality the space between disciplines and beyond disciplines is full just as the quantum vacuum is full of all potentialities: from the quantum particle to the galaxies, from the quark to the heavy elements which condition the appearance of life in the universe. The discontinuous structure of the levels of Reality determines *the discontinuous structure of transdisciplinary space* , which in turn explains why transdisciplinary research is radically distinct from disciplinary research, even while being entirely complementary. *Disciplinary research concerns, at most, one and the same level of Reality* ; moreover, in most cases, it only concerns fragments of one level of Reality. On the contrary, *transdisciplinarity concerns the dynamics engendered by the action of several levels of Reality at once* . The discovery of these dynamics necessarily passes through disciplinary knowledge. While not a new discipline or a new superdiscipline, transdisciplinarity is nourished by disciplinary research; in turn, disciplinary research is clarified by transdisciplinary knowledge in a new, fertile way. In this sense, disciplinary and transdisciplinary research are not antagonistic but complementary.

Disciplinarity, multidisciplinary, interdisciplinarity and transdisciplinarity are like four arrows shot from but a single bow: knowledge .

As in the case of disciplinarity, transdisciplinary research is not antagonistic but complementary to multidisciplinary and interdisciplinarity research. Transdisciplinarity is nevertheless radically distinct from multidisciplinary and interdisciplinarity because of its goal, the understanding of the present world, which cannot be accomplished in the framework of disciplinary research. The goal of multidisciplinary and interdisciplinarity always remains within the framework of disciplinary research. If transdisciplinarity is often confused with interdisciplinarity and multidisciplinary (and by the same token, we note that interdisciplinarity is often confused with multidisciplinary) this is explained in large part by the fact that all three overflow disciplinary boundaries. This confusion is very harmful to the extent that it functions to hide the different goals of these three new approaches.

The three pillars of transdisciplinarity -- levels of Reality, the logic of the included middle, and complexity -- determine *the methodology of transdisciplinary research* . They emerge from the most advanced contemporary sciences, especially from quantum physics, quantum cosmology and molecular biology.

Transdisciplinarity is globally open. Levels of Reality are inseparable from levels of perception and these last levels found the verticality of degrees of transdisciplinarity. Transdisciplinarity entails both a new vision and a lived experience. It is a way of self-transformation oriented towards the knowledge of the self, the unity of knowledge, and the creation of a new art of living.

2. THE TRANSDISCIPLINARY EVOLUTION OF EDUCATION

The emergence of a new culture capable of contributing to the elimination of the tensions menacing life on our planet, will be impossible without a new type of education which takes into account *all* the dimensions of the human being.

All the various tensions - economic, cultural, spiritual - are inevitably perpetuated and deepened by a system of education founded on the values of another century, and by a rapidly accelerating unbalance between contemporary social structures and the changes which are currently taking place in the contemporary world.

In spite of the enormous diversity of the systems of education from one country to another, the globalization of the challenges of our era involves the globalization of the problems of education. The different upheavals continually traversing the area of education in one or another country are only symptoms of one and the same flaw: the disharmony which exists between the values and the realities of a planetary life in the process of change. Most certainly, while there is not some miraculous recipe, there is nevertheless a *common center of questioning* which it would behoove us not to hide if we truly want to live in a more harmonious world.

The recent UNESCO report of the "Commission internationale sur l'éducation pour le vingt et unième siècle", chaired by Jacques Delors, strongly emphasized four pillars of a new kind of education: learning to know, learning to do, learning to live together with, and learning to be.

In this context, the transdisciplinary approach can make an important contribution to the advent of this new type of education.

Learning to know means first of all training in the methods which help us distinguish what is real from what is illusory and to have intelligent access to the fabulous knowledge of our age. In this context *the scientific spirit*, one of the highest ever attained in the human adventure, is indispensable. It is not the assimilation of an enormous mass of scientific knowledge which gives access to the scientific spirit, but the quality of that which is taught. And here *quality* means to lead the student into the very heart of the scientific approach which is the permanent questioning in relation with the resistance to facts, images, representations, and formalizations.

Learning to know also means being capable of establishing *bridges* - between the different disciplines, and between these disciplines and meanings and our interior capacities. This transdisciplinary approach will be an indispensable complement to the disciplinary approach, because it will mean the emergence of *continually connected beings*, who are able to adapt themselves to the changing exigencies of professional

life, and who are endowed with a permanent flexibility which is always oriented towards the actualization of their interior potentialities.

Learning to do certainly means acquiring a profession. The acquisition of a profession necessarily passes through a phase of specialization.

However, in our tumultuous world, in which the tremendous changes induced by the computer revolution are but the portent of other still more tremendous changes to come, any life which is frozen into one and the same occupation can be dangerous, because it risks leading to unemployment, to exclusion, to a debilitating alienation. Excessive specialization should be outlawed in a world which is in rapid change. If one truly wants to reconcile the exigency of competition and the concern for the equal opportunity for all human beings, in the future, every profession should be an authentically woven occupation, an occupation which would bind together in the interior of human beings threads linking them to other occupations. Of course, it is not simply a question of acquiring several competencies at the same time but of creating a flexible, interior core which could quickly provide access to another occupation should it become necessary or desirable.

In this context, the transdisciplinary approach can be invaluable. In the last analysis, "learning to do" is an apprenticeship in *creativity*. "To make" also signifies discovering novelty, creating, bringing to light our creative potentialities.

Creating the conditions for the emergence of authentic *persons* involves insuring the conditions for the maximal realization of their creative potentialities. The social hierarchy, so frequently arbitrary and artificial, could thus be replaced by the cooperation of *structural levels in the service of personal creativity*. Rather than being levels imposed by a competition which does not take the interior being into account at all, these levels would in fact be *levels of being*. The transdisciplinary approach is based on the equilibrium between the exterior person and the interior person. Without this equilibrium, "to make" means nothing other than "to submit."

"To live together with" does not mean simply tolerating the other's differences of opinion, skin color, and beliefs; submission to the exigencies of power; negotiating between the in's and out's of innumerable conflicts; definitively separating interior from exterior life. The transcultural, transreligious, transpolitical and transnational attitude can be learned. To the extent that in each being there is a sacred, intangible core it is innate. Yet, if this innate attitude is only potential, it can forever remain non-actualized, absent in life and in act. In order that the norms of a collectivity be respected they must be *validated* by the interior experience of each being. The transcultural, transreligious, transpolitical and transnational attitude permits us to better understand our own culture, to better defend our national interests, to better respect our own religious or political convictions. Just as in all other areas of Nature and knowledge, open unity and complex plurality are not antagonists.

Learning to be appears at first like an insoluble enigma. We know to exist but how can we learn *to be*? We can begin by learning that the word "exist" means, for us: discovering our conditioning, discovering the harmony or disharmony between our individual and social life, testing the foundations of our convictions in order to discover

that which is found underneath. To question, to question always ; here also, the scientific spirit is a precious guide for us.

Learning to be is also a permanent apprenticeship in which teachers inform the students as much as students inform the teachers. *The shaping of a person inevitably passes through a trans-personal dimension.* Disrespect for this necessary process goes a long ways towards explaining the reason for one of the fundamental tensions of our era, that between the material and the spiritual.

There is one very obvious inter-relation between the four pillars of the new system of education: how to learn to make while learning to know, and how to learn to be while learning to live together with?

In the transdisciplinary vision, there is a *transrelation* which connects the four pillars of the new system of education and which has its source in our own constitution as human beings. A viable education can only be an *integral education of the human being* . An education which is addressed to open totality of the human being and not to just one of its components.

At present, education privileges the intellect, relative to sensibility and the body. This was certainly necessary in the previous era, in order to permit the explosion of knowledge. But this privileging, if it continues, sweeps us away in the mad logic of efficiency for efficiency's sake which can only end in our self-destruction.

The recent experiments made by the Nobel Prize winning physicist Leon Lederman with children from the most disadvantaged neighborhoods of Chicago, demonstrates what we have been saying. The Chicago experiment shows well that the intelligence assimilates knowledge much better and much more rapidly when this knowledge is also *understood* with the body and feeling.

This is a prototype of the emergence of a new type of intelligence, founded on an equilibrium between analytic intelligence, feeling, and the body. It is only in this way that the society of the 21st century can reconcile effectivity and affectivity.

It is quite obvious that the various areas and ages of life call for extremely diverse transdisciplinary methods. Even if transdisciplinary education is a long-term, global process, it is still important to discover and to create places which help to initiate this process and insure its development.

The University is the privileged place for an education geared towards the exigencies of our time which would also be the pivotal place for an education directed not only towards children and adolescents but also towards adults.

Instilling complex and transdisciplinary thought into the structures and programs of the University will permit its evolution towards its somewhat forgotten mission today - *the study of the universal*. In addition, the University could become the privileged place of apprenticeship in the transcultural, transreligious, transpolitical and transnational attitude, of the dialogue between art and science, which is the axis of a reunification between scientific culture and artistic culture. A renewed University would become the place for welcoming a new kind of humanism.

In spite of extremely varied conditions between universities from one country to another, the disorientation of the University has become worldwide. A number of symptoms function to conceal the general cause of this disorientation : the loss of meaning and the universal hunger for meaning. Transdisciplinary education can open the way towards the integral education of the human being which necessarily transmits the quest for meaning.

The break between science and culture, which manifested itself over three centuries ago, is one of the most dangerous. On the one hand, there are the holders of pure, hard knowledge ; on the other, the practitioners of ambiguous, soft knowledge. This break is inevitably reflected in the functioning of universities which favor the accelerated development of scientific culture at the cost of the negation of the subject and the decline of meaning. Everything must be done in order to reunite these two artificially antagonistic cultures - scientific culture and literary or artistic culture - so that they will move beyond to a new transdisciplinary culture, the preliminary condition for a transformation of mentalities.

The University is not only threatened by the absence of meaning, but also by the refusal to share knowledge. The information circulating in cyberspace generates an historically unprecedented richness. Taking into account present developments, it is nevertheless possible that the "information poor" will become increasingly poor, and the "information rich" will become increasingly rich. One of the goals of transdisciplinarity is research into the steps which are necessary for adapting the University to the cyber-era. *The University must become a free zone of cyber-space-time.*

Universal sharing of knowledge cannot take place without the emergence of a new tolerance founded on the transdisciplinary attitude, one which implies putting into practice the transcultural, transreligious, transpolitic, and transnational vision ; whence the direct and indisputable relation between peace and transdisciplinarity.

3. PROPOSALS

Recently the Centre International de Recherches et d'Etudes Transdisciplinaires (CIRET) elaborated, in collaboration with UNESCO, the project *The Transdisciplinary Evolution of the University* . The CIRET-UNESCO project was discussed at the International Congress *Which University for Tomorrow?* (Monte Verità, Locarno, Switzerland, April 30 - May 2, 1997), sponsored by UNESCO and the Department of Education and Culture of the Republic and Canton of Ticino.

Here I will sketch some of the proposals contained in the *Declaration of Locarno*, adopted by the participants at this congress :

1. CREATION OF INSTITUTES OF THE RESEARCH FOR MEANING

The most complex key problem of the transdisciplinary evolution of the University is that of the teaching of teachers. Universities could fully contribute to the creation and operation of *bona fide* " *Institutes of the Research for*

Meaning " which, in their turn, would inevitably have beneficial effects on the survival, the life and the positive influence of universities.

2. TIME FOR TRANSDISCIPLINARITY

It is recommended to university authorities (presidents, heads of departments, etc.) to devote 10% of the teaching time in each discipline to transdisciplinarity.

3. CREATION OF ATELIERS OF TRANSDISCIPLINARY RESEARCH

The universities should create ateliers of transdisciplinary research (free from any ideological, political, or religious control) comprised of researchers from all disciplines. It is a matter of gradually introducing researchers and creators exterior to the University, including musicians, poets, and artists of high caliber, in specific University projects, with a view towards establishing academic dialogue between different cultural approaches. Co-direction of each atelier will be insured by a teacher in the exact sciences and a teacher in the human sciences or art, each of these being elected by an open process of co-optation.

4. CREATION OF CENTERS OF TRANSDISCIPLINARITY ORIENTATION

Centers of transdisciplinary orientation will be destined to foster vocations and to enable the discovery of hidden possibilities in each person ; at present, the equality of the chances of the students strongly clashes with the inequality of their possibilities.

5. TRANSDISCIPLINARITY AND CYBERSPACE : PILOT ATELIERS

It is recommended to encourage and develop all available technical means with an eye towards giving emergent transdisciplinary education the requisite universal dimension and, more generally, to promote the public domain of information (the virtual memory of the world, the information produced by governmental organizations, as well as the information linked to the regulations of *copyleft*).

In this respect it is highly recommended to develop pilot experiences, which are founded on the extension of networks, such as Internet, and "invent" the education of the future by insuring planetary activity in continuous feed-back, thereby establishing interactions on the universal level for the first time.

6. CREATION OF AN ITINERANT UNESCO CHAIR AND OF TRANSDISCIPLINARY DOCTORAL THESIS

It is recommended that UNESCO create an itinerant chair, if possible in collaboration with the University of the United Nations (Tokyo), which will organize lectures involving the entire community and enabling it to be informed about transdisciplinary ideas and methods. This chair could be supported by the creation of an Internet site which would prepare the international and university community for a theoretical and practical discovery of transdisciplinarity. The

aim is to put everything in place so that the seed of complex thought and transdisciplinarity can penetrate the structures and programs of the University of tomorrow. Doctoral thesis in subjects with a clear transdisciplinary orientation have to be allowed. This transdisciplinary PhD could have both the labels of the respective University and of UNESCO.

7. DEVELOPMENT OF RESPONSABILITY

It is recommended to universities to make an appeal in the framework of a transdisciplinary approach, notably to philosophy of Nature, philosophy of History, and epistemology, with the goal of developing creativity and the meaning of responsibility in leaders of the future. It must introduce courses on all levels in order to sensitize students and awaken them to the harmony between beings and things. These courses should be founded on the history of science and technology as well as on the great multidisciplinary themes of today (especially cosmology and general biology) in order to accustom students to thinking about things with clarity and in their context, with an eye to industrial development and technological innovation, and in order to insure that applications will not contradict an ethics of responsibility vis a vis other human beings and the environment.

8. TRANSDISCIPLINARY FORUMS

In order to reconcile two artificially antagonistic cultures - scientific culture and literary or artistic culture - and to make mentalities evolve, it is recommended to universities to organize transdisciplinary forums including history, philosophy, and sociology of science and history of contemporary art.

9. PEDAGOGICAL INNOVATION AND TRANSDISCIPLINARITY

It is essential to perform the follow-up of the results of experiences bearing witness to the strictly pedagogical innovation linked to the transdisciplinary approach in teaching. Universities should encourage and stimulate publications which record and analyze the major examples of innovative experience.

10. REGIONAL ATELIERS AND TRANSCULTURAL INTERNET FORUMS

It would be necessary that universities organize regional ateliers for transdisciplinary research which include the application of the transcultural, transreligious, transpolitical and transnational vision. Special effort must be made so that some of these ateliers take place in, or in close collaboration with, universities of developing countries.

Of particular interest would be the organization by universities of Internet moderated forum with teachers and students from countries involved in religious, cultural, political or national conflicts. The transdisciplinary approach is also a science and an art of dialogue.

4. CONCLUSIONS

If the universities intend to be valid actors in sustainable development they have first to recognize the emergence of a new type of knowledge : transdisciplinary knowledge.

The new production of knowledge implies a necessary multidimensional opening of the University :

- towards the civil society ;
- towards the other places of production of the new knowledge (private institutions and laboratories, industrial companies, non-profit organizations etc.) ;
- towards the cyber-space-time ;
- towards the aim of universality ;
- towards a redefinition of values governing its own existence.

BASARAB NICOLESCU
Alliance for a Responsible
and United World

* Talk at the International Congress " Universities' Responsibilities to Society ", International Association of Universities, Chulalongkorn University, Bangkok, Thailand, November 12-14, 1997.

REFERENCES

1. Michael Gibbons et al., *The New Production of Knowledge - The Dynamics of Science and Research in Contemporary Societies*, Sage, London, 1994.
2. Basarab Nicolescu, *La Transdisciplinarité*, Rocher, Paris, 1996 (English translation : Watersign Press, Lexington, USA, to be published).
3. International Congress *Which University for Tomorrow ? Towards a Transdisciplinary Evolution of the University*, Locarno, Switzerland, April 30 - May 2, 1997 ; this document can be found on the Internet site <http://perso.club-internet.fr/nicol/ciret/>.